



11w 2135

DOCKET NO. ST0179 (NORT10-00038)  
Customer No. 33000

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Bernie G. Jansen, et al.  
Serial No. : 09/220,993  
Filed : December 28, 1998  
For : OPERATING SYSTEM EXTENSION TO PROVIDE SECURITY  
FOR WEB-BASED PUBLIC ACCESS SERVICES  
Group Art Unit : 2135  
Examiner : Ly Hua

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY POST OFFICE EXPRESS MAIL

Sir:

The undersigned hereby certifies that the following documents:

- 1) Second Status Inquiry;
- 2) Copy of Status Inquiry filed on June 17, 2005 and copy of Request for Continued Examination (RCE) and Information Disclosure Statement as filed in USPTO on July 20, 2004; and
- 3) Postcard receipt.

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 10/24/05.

Date: 10/24/05

Kathy Cedar  
Mailer

Date: 10/24/2005

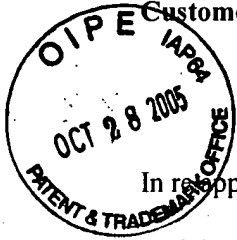
Robert D. McCutcheon  
Robert D. McCutcheon  
Reg. No. 38,717

P.O. Drawer 800889  
Dallas, Texas 75380  
Phone: (972) 628-3600  
Fax: (972) 628-3616  
E-mail: [rmccutcheon@davismunck.com](mailto:rmccutcheon@davismunck.com)

DOCKET NO. ST0179 (NORT10-00038)

PATENT

Customer No. 33000



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Bernie G. Jansen, et al.  
Serial No. : 09/220,993  
Filed : December 28, 1998  
For : OPERATING SYSTEM EXTENSION TO PROVIDE SECURITY  
FOR WEB-BASED PUBLIC ACCESS SERVICES  
Group Art Unit : 2135  
Examiner : Ly Hua

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SECOND STATUS INQUIRY**

A Status Inquiry was filed in the U.S. Patent and Trademark Office ("USPTO") on June 17, 2005 enclosing a copy of a Request for Continued Examination filed in the USPTO on July 20, 2004 (copies enclosed).

We would appreciate receiving a status as to when we can expect to receive the next Office Action.

Respectfully submitted,

DAVIS MUNCK, P.C.

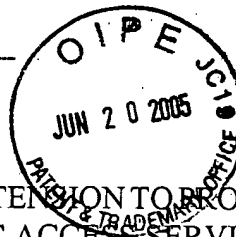
Date: 10/24/2005

Robert D. McCutcheon  
Registration No. 39,409

P.O. Drawer 800889  
Dallas, Texas 75380  
Phone: (214) 922-9221  
Fax: (214) 969-7557  
email: [rmccutcheon@davismunck.com](mailto:rmccutcheon@davismunck.com)



Mailed: June 17, 2005  
In re. Application of: BERNIE G. JANSEN ET AL.  
Serial No.: 09/220,993  
Filed: December 28, 1998  
Title: OPERATING SYSTEM EXTENSION TO PROVIDE SECURITY  
FOR WEB-BASED PUBLIC ACCESS SERVICES  
Docket No.: ST0179US  
Client No.: NORT10-00038



The following documents were received in the U.S. Patent and Trademark Office on the date stamped below:

- 1) Certificate of Mailing by First Class Mail
- 2) Status Inquiry; and
- 3) Copy of date-stamped postcard acknowledging receipt of Request for Continued Examination (RCE) and Information Disclosure Statement filed in USPTO on July 20, 2004.

**RECEIVED**

JUN 27 2005

DAVIS MUNCK

**DOCKETED**  
JUL 15 2005

**COPY**



DOCKET NO. ST0179 (NORT10-00038)  
Customer No. 33000

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Bernie G. Jansen, et al.  
Serial No. : 09/220,993  
Filed : December 28, 1998  
For : OPERATING SYSTEM EXTENSION TO PROVIDE SECURITY  
FOR WEB-BASED PUBLIC ACCESS SERVICES  
Group Art Unit : 2131  
Examiner : Ly Hua

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY POST OFFICE EXPRESS MAIL

Sir:

The undersigned hereby certifies that the following documents:

- 1) Status Inquiry;
- 2) Copy of date-stamped postcard acknowledging receipt of Request for Continued Examination (RCE) and Information Disclosure Statement filed in USPTO on July 20, 2004; and
- 2) Postcard receipt.

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 6/17/05.

Date: 6/17/05

Mailex

Date: 6/17/2005

Kathy Carter  
Robert D. McCutcheon  
Robert D. McCutcheon  
Reg. No. 38,717

P.O. Drawer 800889  
Dallas, Texas 75380  
Phone: (972) 628-3600  
Fax: (972) 628-3616  
E-mail: [rmccutcheon@davismunck.com](mailto:rmccutcheon@davismunck.com)

DOCKET NO. ST0179 (NORT10-00038)  
Customer No. 33000



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Bernie G. Jansen, et al.  
Serial No. : 09/220,993  
Filed : December 28, 1998  
For : OPERATING SYSTEM EXTENSION TO PROVIDE SECURITY  
FOR WEB-BASED PUBLIC ACCESS SERVICES  
Group Art Unit : 2131  
Examiner : Ly Hua

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

STATUS INQUIRY

A Request for Continued Examination (RCE) and Information Disclosure Statement were filed in the U.S. Patent and Trademark Office on July 20, 2004 (and received on July 23, 2004), as indicated on the attached file-stamped copy of the postcard.

We would appreciate receiving a status as to when we can expect to receive the next Office Action.

Respectfully submitted,

DAVIS MUNCK, P.C.

Date: 6/16/2005

Robert D. McCutcheon  
Registration No. 39,409

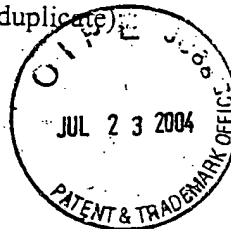
P.O. Drawer 800889  
Dallas, Texas 75380  
Phone: (214) 922-9221  
Fax: (214) 969-7557  
email: [rmccutcheon@davismunck.com](mailto:rmccutcheon@davismunck.com)



Mailed: July 20, 2004  
In re. Application of: BERNIE G. JANSEN ET AL.  
Serial No.: 09/220,993  
Filed: December 28, 1998  
Title: OPERATING SYSTEM EXTENSION TO PROVIDE SECURITY  
FOR WEB-BASED PUBLIC ACCESS SERVICES  
Docket No.: ST0179US  
Client No.: NORT10-00038

The following documents were received in the U.S. Patent and Trademark Office on the date stamped below:

- 1) Certificate of Mailing by First Class Mail;
- 2) Request for Continued Examination (RCE) Transmittal (in duplicate);
- 3) Information Disclosure Statement;
- 4) Form PTO/SB/08A;
- 5) Three (3) references; and
- 6) Check in the amount of \$770.00 for the RCE filing fee.



**RECEIVED**

JUL 23 2004

DAVIS MUNCK

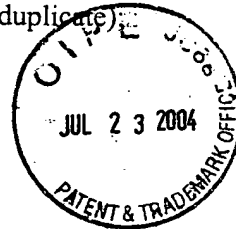
**DOCKETED**  
AUG - 3 2004



Mailed: July 20, 2004  
In re. Application of: BERNIE G. JANSEN ET AL.  
Serial No.: 09/220,993  
Filed: December 28, 1998  
Title: OPERATING SYSTEM EXTENSION TO PROVIDE SECURITY  
FOR WEB-BASED PUBLIC ACCESS SERVICES  
Docket No.: ST0179US  
Client No.: NORT10-00038

The following documents were received in the U.S. Patent and Trademark Office on the date stamped below:

- 1) Certificate of Mailing by First Class Mail;
- 2) Request for Continued Examination (RCE) Transmittal (in duplicate);
- 3) Information Disclosure Statement;
- 4) Form PTO/SB/08A;
- 5) Three (3) references; and
- 6) Check in the amount of \$770.00 for the RCE filing fee.



**RECEIVED**

JUL 23 2004

DAVIS MUNCK

**DOCKETED**  
AUG - 3 2004

**COPY**

DAVIS MUNCK, P.C. OPERATING ACCOUNT  
A PROFESSIONAL CORPORATION

46013

VENDOR: Commissioner of Patents		07/19/2004 CHECK NO: 46013			
OUR REF. NO.	YOUR INVOICE NUMBER	INVOICE DATE	INVOICE AMOUNT	AMOUNT PAID	DISCOUNT TAKEN
53194	NORT10-00038 RCE filing fee	7/19/2004	770.00	770.00	



CHECK AMOUNT \$770.00

<b>DAVIS MUNCK, P.C.</b> A PROFESSIONAL CORPORATION 900 THREE GALLERY TOWER 3155 NOEL ROAD DALLAS, TEXAS 75240 (972) 628-3600		<b>NORTH DALLAS BANK &amp; TRUST CO.</b> 3296-1110		CHECK NO. 46013 CHECK DATE 7/19/2004 VENDOR NO. COMPAT
PAY TO THE ORDER OF Commissioner of Patents and Trademarks		CHECK AMOUNT \$770.00 Seven hundred seventy and NO/100*****		DAVIS MUNCK, P.C. A PROFESSIONAL CORPORATION OPERATING ACCOUNT 
@046013 1110009601 38 1567 71				

DAVIS MUNCK, P.C. OPERATING ACCOUNT  
A PROFESSIONAL CORPORATION

46013

Commissioner of Patents

07/19/2004

46013

53194	NORT10-00038 RCE filing fee	7/19/2004	770.00	770.00
-------	--------------------------------	-----------	--------	--------

CHECK AMOUNT \$770.00



DOCKET NO.: ST0179US  
CLIENT NO.: NORT10-00038  
Customer No.: 33000



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re continuation application of : Bernie G. Jansen, et al.  
U.S. Serial No. : 09/220,993  
Filed : December 28, 1998  
For : OPERATING SYSTEM EXTENSION TO PROVIDE  
SECURITY FOR WEB-BASED PUBLIC ACCESS  
SERVICES  
Group No. : 2135  
Examiner : Ly Hua

**MAIL STOP RCE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

Sir:

The undersigned hereby certifies that the following documents:

1. Request for Continued Examination (RCE) Transmittal (in duplicate);
2. Information Disclosure Statement;
3. Form PTO/SB/08A;
4. Three (3) references;
5. Check in the amount of \$770.00 for the RCE filing fee; and
6. A postcard receipt;

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to MAIL STOP RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 20, 2004.

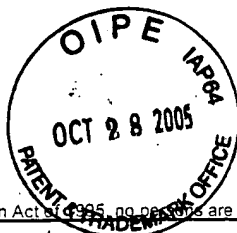
Date: 7/20/04

Kathy Ceder  
Mailer

Date: 7/20/2004

Robert D. McCutcheon  
Robert D. McCutcheon  
Reg. No. 38,717

P.O. Drawer 800889  
Dallas, Texas 75380  
Phone: (972) 628-3600  
Fax: (972) 628-3616  
E-mail: [rmccutcheon@davismunck.com](mailto:rmccutcheon@davismunck.com)



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/30 (09-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# Request for Continued Examination (RCE) Transmittal

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/220,993
Filing Date	12/28/1998
First Named Inventor	Bernie G. Jansen
Art Unit	2135
Examiner Name	Ly Hua
Attorney Docket Number	ST0179US (NORT10-00038)

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☐ Amendment/Reply

iii. ☒ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/ Declaration(s)

iv. ☒ Other Certificate of Mailing by First Class Mail  
Form PTO/SB/08A; 3 refs. and postcard

## 2. Miscellaneous

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to

a. ☒ Deposit Account No. 50-0208

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_

b. ☒ Check in the amount of \$ 770.00 enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Robert D. McCutcheon	Registration No. (Attorney/Agent)	38,717
Signature	<i>Robert D. McCutcheon</i>	Date	July 20, 2004

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Kathy Cedor	Date	July 20, 2004
Signature	<i>Kathy Cedor</i>		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DOCKET NO.: ST0179US  
CLIENT NO.: NORT10-00038  
Customer No.: 33000



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : BERNIE G. JANSEN ET AL.  
U.S. Serial No. : 09/220,993  
Filed : December 28, 1998  
For : OPERATING SYSTEM EXTENSION TO PROVIDE SECURITY  
FOR WEB-BASED PUBLIC ACCESS SERVICES  
Group No. : 2135  
Examiner : Ly Hua

**MAIL STOP RCE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents herein are listed below and on the attached Forms PTO/SB/08A. Copies of the listed patents are submitted herewith.

<u>U.S. Patent No.</u>	<u>Inventor</u>	<u>Date</u>
6,118,860	Hillson et al.	September 12, 2000
6,141,684	McDonald et al.	October 31, 2000
6,243,450	Jansen et al.	June 5, 2001

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

Respectfully submitted,

DAVIS MUNCK, P.C.

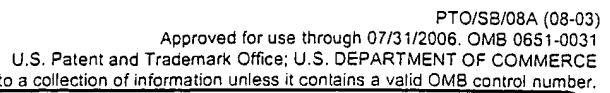
Date: \_\_\_\_\_

7/20/2004

Robert D. McCutcheon

Robert D. McCutcheon  
Registration No. 38,717

P.O. Drawer 800889  
Dallas, Texas 75380  
Phone: (972) 628-3600  
Fax: (972) 628-3616  
email: [rmccutcheon@davismunck.com](mailto:rmccutcheon@davismunck.com)



Substitute for form 1449/PTO

*(Use as many sheets as necessary)*

Sheet 1 of 1

Application Number	09/220,993
Filing Date	12/28/1998
First Named Inventor	Bernie G. Jansen
Art Unit	2135
Examiner Name	Ly Hua
Attorney Docket Number	ST0179US (NORT10-00038)

[illegible][illegible]

Date	
Considered	

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.